



Express Mail No. EV331755883US  
Attorney Docket No. UMC-98-254 CON2  
Client/Matter No. 81848.0019.002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kun-Lin Wu and Meng-Jin Tsai

Serial No. 09/990,948

Filed: November 20, 2001

For: CHEMICAL-MECHANICAL POLISHING METHOD

Group Art Unit: 2825

Examiner: Yevsikov, Victor V.

Confirmation No.: 4310

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97

MAIL STOP RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

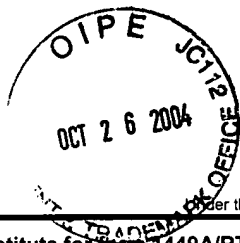
Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting this reference, no representation is made or implied that the reference is or is not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of such patents and English translation are attached hereto.

This disclosure statement is submitted after receipt of Notice of Allowance and with a Request for Continued Examination in order for the enclosed reference materials to be reviewed by the Examiner. No additional fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Respectfully submitted,

10/27/04  
Date

  
Peter J. Meza, Reg. No. 32920  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5906 Tel  
(303) 899-7333 Fax



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|---|----------|----|----------|----------------------|----------------------------|
| <b>Substitute for form 1449A/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |          |    |          | Application Number   | <b>09/990,948</b>          |
|   |          |    |          | Filing Date          | <b>November 20, 2001</b>   |
|   |          |    |          | First Named Inventor | <b>Kun-Lin Wu et al.</b>   |
|   |          |    |          | Art Unit             | <b>2825</b>                |
|   |          |    |          | Examiner Name        | <b>Yevsikov, Victor V.</b> |
| Sheet   | <b>1</b> | of | <b>1</b> | Attorney Docket No.  | <b>UMC-98-254 CON2</b>     |

| U.S. PATENT DOCUMENTS |                       |   |                             |  |   |
|-----------------------|-----------------------|---|-----------------------------|--|---|
| Examiner Initials     | Cite No. <sup>1</sup> | Document No. No. – Kind Code <sup>2</sup> | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Doc | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|                       |                       | US-5,506,177                              | 04/09/1996                  | Kishimoto et al.                           |   |
|                       |                       | US-                                       |                             |  |   |
|                       |                       | US-                                       |                             |  |   |
|                       |                       | US-                                       |                             |  |   |
|                       |                       | US-                                       |                             |  |   |
|                       |                       | US-                                       |                             |  |   |
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|                       |                       | US-                                       |                             |  |   |
|                       |                       | US-                                       |                             |  |   |
|                       |                       | US-                                       |                             |  |   |

| FOREIGN PATENT DOCUMENTS |                       |  |                             |  |  |                |
|--------------------------|-----------------------|--|-----------------------------|--|--|----------------|
| Examiner Initials        | Cite No. <sup>1</sup> | Foreign Patent Document  | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Doc | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> |                             |  |  |                |
|                          |                       | JP 8-037187  | 05/17/1995                  | Sanyo Electric Co. Ltd.                    |  | Yes            |
|                          |                       | JP 9-82800   | 03/28/1997                  | Hitachi Ltd.                               |  | Yes            |
|                          |                       | JP 10-56009  | 02/24/1998                  | NEC Corporation                            |  | Yes            |
|                          |                       | JP 10-173049   | 06/26/1998                  | Fujitsu Ltd.                               |  | Yes            |

|                               |                            |
|-------------------------------|----------------------------|
| <b>EXAMINER<br/>SIGNATURE</b> | <b>DATE<br/>CONSIDERED</b> |
|-------------------------------|----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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